

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

### BLACK BORDERS

- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

19



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

11 Publication number:

**0 205 698**  
**A1**

12

# EUROPEAN PATENT APPLICATION

21 Application number: 85304634.0

51 Int. Cl.: G 01 N 21/47

22 Date of filing: 28.06.85

43 Date of publication of application: 30.12.86  
Bulletin 86/52

71 Applicant: EASTMAN KODAK COMPANY, 343 State  
Street, Rochester New York 14650 (US)

72 Inventor: Lowne, Alan John, Kodak Park, Rochester New  
York 14650 (US)

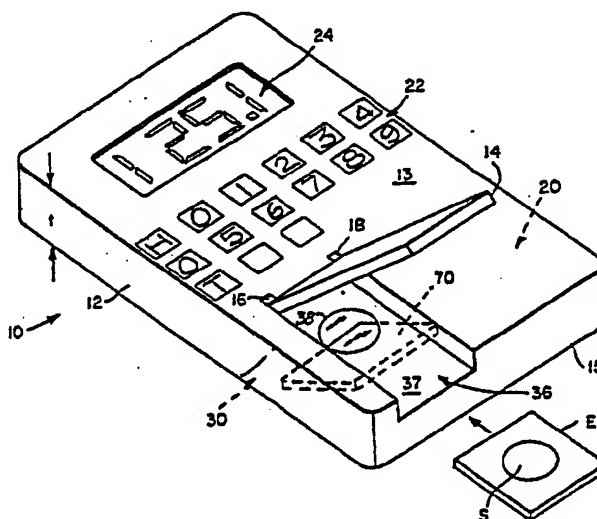
84 Designated Contracting States: CH DE FR GB LI SE

74 Representative: Davis, Ian Ellison et al, Kodak Limited  
Patent Department Headstone Drive, Harrow  
Middlesex HA1 4TY (GB)

54 Compact reflectometer.

57 A thin, compact reflectometer is adapted to support and  
position a generally planar test element (E, E') in a predeter-  
mined plane during usage.

The reflectometer (10) includes a light source (32; 34)  
and a detector (40) having major portions of their respective  
illumination and detection axes (35, 41) extending generally  
parallel to planar reflectometer-positioning portion (15) on  
one of two major surfaces of the reflectometer.



EP 0 205 698 A1

COMPACT REFLECTOMETER

This invention relates to a compact reflectometer, and particularly to one designed to be used as an analyzer carried by a patient.

5           Recent emphasis has been placed upon compact reflectometers that can be used directly by the patient as a blood analyzer. Particularly such reflectometers are needed by diabetics who take repeated measurements of the glucose levels of their  
10 whole blood. Most properly, such reading should be taken at regular intervals, wherever the patient finds himself. This is not possible unless the reflectometer is readily portable. Portability requires more than just being lightweight or small--the bulk and  
15 shape also dictate whether the reflectometer is convenient to carry. A truly portable and convenient reflectometer would be one which would fit, for example, in the patient's shirt or coat pocket. Preferably, such a reflectometer should not be thicker  
20 than 2 cm.

          EP-A-102,189 describes a reflectometer featuring a light guide one interior surface of which acts as a mirror to reflect light from a light source to the horizontally supported test element. Such  
25 mirrored surface allows the light source to be displaced at an angle to, that is, to one side of, the normal to the test element, thereby permitting some reduction in thickness. However, because the conventional approach has been to "read" the element by  
30 detecting radiation diffusely reflected at  $90^\circ$  from the test element, the detector of necessity was placed under the test element. That is, it is conventional to direct incoming light at an angle of  $45^\circ$  to the test element, and to detect diffusely reflected light  
35 at an angle of  $90^\circ$  thereto, i.e., normal to the

-2-

plane. This angular arrangement eliminates detection of specular reflection, namely that which is reflected at  $45^\circ$ . However, such an optical arrangement dictates the placement of the photodetector directly opposite to the supported test element. Although commercially available inexpensive detectors now have a reduced thickness, they still have an appreciable thickness that adds to the thickness of the photometer if the detectors are placed under the examined test element.

10 The added thickness detracts from portability.

However, portability is not the only requirement. The reflectometer must be one that is otherwise convenient to use, to insure that it will be used as often as is required. Pocket-sized reflectometers are known--see for example, those described in the owner's Manual of the "Glucoscan" analyzer, published in 1982 by Lifescan. However, those reflectometers feature a test element that is oriented vertically when the reflectometer is placed in its normal resting position. Such vertical orientation has disadvantages, since any excess blood or serum sample on the absorbing pad of the test element will run off into the reflectometer and provide possible contamination. As a result, the patient must either blot off the excess, or wait until it is fully absorbed. In either case, the patient experiences an inconvenience. Also reflectometers such as the "Glucoscan" described above require the test element to be properly aligned with a thin, small slot in order to insert the pad into the reflectometer. This can be a difficulty for elderly or infirm patients.

Thus the problem of the invention is to provide a compact reflectometer that is portable by the user, e.g., in his shirt or coat pocket, and permits ready placement of the test element into the reflecto-

-3-

meter. This problem is solved with a compact reflectometer for providing quantitative measurement of reflection densities, the reflectometer comprising means for supporting a generally planar test element  
5 in a predetermined plane, a light source constructed to project a beam of light centered on an axis of illumination, light detector means constructed to receive light centered on an axis of detection, and reflecting means for a) reflecting light from the  
10 source to a predetermined location in the predetermined plane along a first path, and b) reflecting to the detector means along a second path, only light that is diffusely reflected from a test element at the predetermined location in the predetermined plane,  
15 characterized in that the reflecting means, light source, and detector means are three-dimensionally disposed so that the first and second paths do not lie in a common plane.

It is an advantageous effect of the invention  
20 that a pocket-sized reflectometer is provided for use as an analyzer, wherein the risk of contamination of the instrument by the patient's sample is substantially reduced.

Thus, it is a related advantageous effect of  
25 the present invention that the reflectometer accepts a horizontally-positioned test element on a readily-accessible surface, and can have a thickness no greater than 2 cm.

It is another advantage of the invention that  
30 such a reflectometer can include a second detector means to function as a reference that is used to control and maintain constant the output of the light source, without sacrificing thinness.

The present invention will now be described  
35 by way of example with reference to the accompanying drawings in which:

-4-

Figure 1 is an isometric view of a reflectometer constructed in accordance with the present invention;

5 Figure 2 is a fragmentary plan view of a test element on the supporting surface of the reflectometer;

Figure 3 is a fragmentary sectional view taken generally along the line III-III of Fig. 2;

Figure 4 is a fragmentary sectional view taken generally along the path IV-IV of Fig. 3;

10 Figure 5 is a fragmentary sectional view taken along the path V-V of Fig. 4;

Figure 6 is a schematic view of the electronic controls of the reflectometer; and

15 Figure 7 is a schematic view of the signal processing circuit comprising part of such electronic controls.

The invention is hereinafter described particularly with respect to the preferred embodiments wherein the reflectometer of the invention is used as  
20 an analyzer of whole blood. The invention further is described in the preferred context of analyzing whole blood for glucose. In addition, the invention is useful in analyzing other biological liquids besides whole blood, and for analytes other than glucose. It  
25 is further useful as a reflectometer having a use other than as an analyzer, particularly where there is a need for compactness similar to that in clinical analysis.

As used herein, "biological liquids" means  
30 all liquids obtained from animals, including whole blood, plasma, serum, sweat, spinal fluid and urine, and liquids compatible with these animal liquids, such as control fluids, saline solutions and diluents.

Figure 1 illustrates a reflectometer 10 constructed in accordance with the invention. A housing  
35 12, which can be in one or several pieces, encases optic portions 30 discussed in detail hereinafter.

-5-

The housing features two major exterior surfaces which have major portions 13 and 15, respectively, that are generally planar. Most preferably, portions 13 and 15 are also generally parallel. As used herein, the  
5 recitation of a feature of the reflectometer being "generally parallel" to a surface or plane, means being no more than  $10^\circ$  inclined to that surface or plane. "Major exterior surface" herein refers to the largest exterior surface.

10 A cover 14 for the reflectometer is pivotally attached at 16 and 18 to the housing 12 by conventional means. Also included are a microcomputer 20, and input/output devices comprising a keyboard 22 and a display 24, respectively.

15 Reflectometer 10 is intended to function with generally planar test elements E that contain all the necessary reagents in dried form in one or more layers, of which layer S is adapted to receive a patient sample. Test elements E and E' are only  
20 schematically illustrated in the drawings. Useful test elements are generally described in U.S. Patent Nos. 3,992,158 issued on November 16, 1976 and 4,258,001 issued on March 24, 1981. Test elements of this type are currently available under the trademark  
25 "Ektachem" from Eastman Kodak Company, Rochester, New York. If the analyzer is particularly used to assay for whole blood glucose, a preferred test element is the type described in PCT Publication No. 2192, published June 7, 1984.

30 Any conventional microcomputer 20, Fig. 1, keyboard 22 or output display 24 is useful, although the miniaturized types are preferred. For example, a liquid crystal display 24 is preferred. These components are electrically connected in a conventional  
35 manner, Fig. 6, through a signal processing circuit 200 hereinafter discussed.

-6-

The various optic portions 30 of one preferred reflectometer, are shown in Figs. 1-5. This embodiment includes light sources 32 and 34 each emitting a light beam centered on an axis of illumination 35 (Fig. 4), a support groove 36 for supporting horizontally a test element E or E' (Figs. 1 and 2), such groove including a preferably planar support surface 37, a transparent plate 38 mounted within housing 12 to provide a window to the element, and a stop surface 39 (Fig. 3) for abutting the test elements. Plate 38 is also preferably planar. A detector 40 such as a photodiode (Figs. 3 and 4) which detects light along a path that is centered on an axis of detection 41, is included to detect diffusely reflected radiation from a supported test element E'. Light source 34 (Fig. 4) is preferably identical to source 32, except that the two emit light radiation at two different wavelengths, for example, red and green. Each of the light sources 32, 34 and detector 40 are mounted in appropriately shaped pockets 42, 44 and 48, respectively, (Fig. 4), formed within housing 12 adjacent to bottom surface portion 15 of housing 12 (Fig. 5). Preferably, the axes of pockets 42 and 44 are angled at about  $30^\circ$  to the axis of pocket 48 (Fig. 4) while forming a common plane with each other and pocket 48. Most importantly, that common plane is generally parallel to major portion 13 of the major exterior surface. That plane most preferably is also generally parallel to plate 38 (Fig. 3) to ensure the axes 35 and 41 of the light sources and detector are also generally parallel to the plane of the supported test element. Light sources 32 and 34 most preferably have lenses 49 that tend to collimate the light into narrow beams centered on their respective axes 35.

Because of the aforescribed construction wherein the axes of the light source and detector of



the reflectometer are parallel to the supported test element, a major portion of the dimensions that are ordinarily required between the light source or detector, and the test element, extend sideways, parallel  
5 to the plane of the test element and to the major exterior planes of the reflectometer, rather than perpendicular to that plane. The thickness of the reflectometer is therefore minimized.

The reflectometer preferably also includes a  
10 light trap 50, Fig. 4, for receiving light specularly reflected from the test element. Most preferably, light trap 50 comprises the light source 34, when light source 32 is operative. Similarly, light source 32 acts as a light trap when light source 34 is  
15 operative. However, any other light trap, such as a light-absorbing surface, is also useful.

Optic portions 30 include reflecting means, which most preferably is a reflecting surface 60, Figs. 3-5, for reflecting illuminating radiation along  
20 path 62, Fig. 4, from light source 32 onto supported test element E'. Such radiation proceeds from source 35 to a spot, generally designated "X", on surface 60. Most of the radiation is reflected to a spot "Y" generally centered on a supported test element, Fig.  
25 5. To so direct the light from light source 32 to the test element, reflecting surface 60 is mounted within housing 12 so as to form an angle of  $45^\circ$  to surface 37.

Any conventional mirrored or reflective surface 60 will suffice, it being preferred that the  
30 surface be generally planar. The  $45^\circ$  orientation noted above is achieved by rotating the surface about one of its axes 66, Fig. 4.

Optic portions 30 also include, Figs. 3 and 4, a reflecting surface 70 for reflecting some of the  
35 diffusely reflected light from test element E', along

-8-

folded path 72 to detector 40. Surface 70 is also disposed at an angle of  $45^\circ$  to the plane of surface 37.

It will be appreciated that reflecting surfaces 60 and 70 preferably fall in the same plane.

5           Because of such mirrored surfaces, illuminating radiation path 62 impinges at X onto surface 60 at angle alpha ( $\alpha$ ), Fig. 4, measured from axis 66. Path 62 is reflected up to and through plate 38 to the supported test element at an angle also equal to  
10   alpha. Light diffusely reflected from the test element at  $90^\circ$  (i.e., normal therefrom) is then reflected, Fig. 3, by surface 70 along folded path 72 to detector 40. Angle alpha is chosen to be as close to  $90^\circ$  as possible to maximize the light output of the  
15   diffuse reflection along path 72, without adding specular reflection to path 72. A particularly useful value for alpha is about  $60^\circ$ .

Most preferably, surfaces 60 and 70 comprise a single mirror. Preferably this same mirror provides  
20   a surface 80, Fig. 4, to reflect along path 81, specular reflectance from element E' to the light trap 50 formed by light source 34. Alternatively, surfaces 60, 70 and 80 can comprise three separate mirrors side-by-side.

25           Because a reflecting surface is used to fold the paths of both the illuminating light and the light diffusely reflected from test element E', both the light sources 32, 34 and the detector 40 can be disposed to one side, which is the left side as shown  
30   in Fig. 4, of the normal to the plane of element E'. As a result, distance "d", Fig. 5, namely the thickness of the reflectometer measured from support surface 37 to the major planar portion 15 of the bottom wall of housing 12, is minimized since that  
35   distance does not have to also include the thickness

of the detector. Such distance is, in one example, no greater than 9 mm.

In accordance with another aspect of the invention, a second, reference detector 90 is disposed generally opposite to and coaxial with detector 40 to receive a small fraction (e.g., 10%) of the illuminating light that impinges on surface 60. As shown, surface 60 is apertured at 96 to allow such small fraction to pass through and towards detector 90 along path 98, Figs. 4 and 5. To allow detector 90 to also receive a portion of the illuminating radiation from light source 34 in a similar manner, Fig. 4, detector 90 is a wide-angle detector such as PIN photodiode VTB 5051 obtainable from VACTEC. Detector 40, for example is a photodiode VTB 1113 obtainable also from VACTEC.

Alternatively, surfaces 60 and 80 are only partially silvered at 96, to allow 10% of the illuminating radiation to be transmitted through to detector 90.

As will be readily apparent, light sources 32 and 34 are preferably LED's because of their size. Useful examples include those available from So Li Co., for example a red LED having the designation ESBR/SBR 5501, and a green LED having the designation ESBG/SBG 5501.

To control light sources 32 and 34 by means of detector 90, a signal processing circuit 200 preferably is provided, Figs. 6 and 7. This circuit receives the signals from both detectors 40 and 90, and controls the voltages applied to light sources 32 and 34. More specifically, circuit 200 comprises, Fig. 6, an amplifier, not shown, for the signal generated by detector 40, and an amplifier 202 for reference detector 90. It also comprises the circuitry which provides the feedback control of each

-10-

light source. Specifically, it comprises resistor 205 that converts the current generated by reference detector 90 into a voltage at point A. This voltage goes to comparator 210, which compares it with the voltage level  $V_1$  of a voltage source 215 preset at the factory. If the voltage at A is less (or greater) than  $V_1$ , comparator 210 generates a higher (or lower) voltage to transistor 220 to increase (or decrease) the current drive to the light source 32. A switch, not shown, connects the appropriate light source to the signal processing circuit 200 as the user switches from one light source to the other.

Alternatively, circuit 200 is replaced by a ratio circuit not shown, so that the ratio of the light detected by the reference detector during calibration, to the light detected by the reference detector during the test, is applied as a correction factor, as is well-known.

In another embodiment, not shown, light source 32 and detector 40 are reversed in position, so that the illuminating light strikes the supported test element at  $90^\circ$ .

As will be readily apparent, the dimensions  $x$  and  $y$  of optic portions 30, Fig. 4, which are the horizontal dimensions when in use, are much larger than the third dimension  $d$ , Fig. 5. For example,  $x$  and  $y$  can be about 30 mm and about 34 mm, compared to the 9 mm noted for  $d$  above. Because of such dimensions, it is contemplated that the reflectometer containing such optic portions will have a total maximum thickness " $t$ ", Fig. 1, between portions 13 and 15 of the exterior surfaces that is no greater than about 1.6 cm, and occupy a total volume no greater than about 1255 cc. Such a thickness and volume make it ideal for carrying in a pocket.

-11-

Because planar portion 15 of the bottom wall is generally parallel to support surface 37, and is itself adapted to rest on a horizontal surface, support surface 37 of the reflectometer is disposed horizontally when in use. The reflectometer is turned on and properly calibrated. The patient's drop of whole blood is applied to absorbing surface S of element E' already easily placed in the horizontal position shown in Fig. 5. Cover 14 is then closed, and one or more readings are taken. After use, the patient discards element E' and returns the reflectometer to his shirt or coat pocket, a feature rendered possible by the small size of the reflectometer. As a result, the reflectometer readily accompanies the patient so that regular readings can be taken. Because the test element is read horizontally on a large surface area, the patient has no difficulty in placing the test element in its ready position against stop surface 39.

20

25

30

35

CLAIMS

1. A compact reflectometer for providing quantitative measurement of reflection densities, said reflectometer comprising
  - 5 means for supporting a generally planar test element in a predetermined plane,
  - a light source constructed to project a beam of light centered on an axis of illumination,
  - light detector means constructed to receive
  - 10 light centered on an axis of detection,
  - and reflecting means for a) reflecting light from said source to a pretermined location in said predetermined plane along a first path, and b) reflecting to said detector means along a second path,
  - 15 only light that is diffusely reflected from a test element at said predetermined location in said predetermined plane,
  - wherein said reflecting means, light source, and detector means are three-dimensionally disposed so
  - 20 that said first and second paths do not lie in a common plane.
2. A reflectometer as defined in Claim 1, wherein said supporting means supports said element so that said predetermined plane is horizontal.
- 25 3. A reflectometer as defined in Claim 1 or 2, wherein said predetermined plane of the test element is generally parallel to said axes,
  - one of said major surfaces comprises said positioning means,
  - 30 and said reflecting means comprises at least one reflecting surface for reflecting light to and from a test element in said predetermined plane.
4. A reflectometer as defined in Claim 1, 2 or 3, wherein said first path strikes said predetermined
- 35 plane at a non-orthogonal angle and said second path extends orthogonally from said predetermined plane.

-13-

5. A reflectometer as defined in Claim 4, wherein said non-orthogonal angle is about  $60^\circ$ .

6. A reflectometer as defined in any one of Claims 1 to 5, wherein said reflecting means comprises  
5 a single mirror surface disposed to reflect both illuminating light along said first path and diffusely reflected light along said second path.

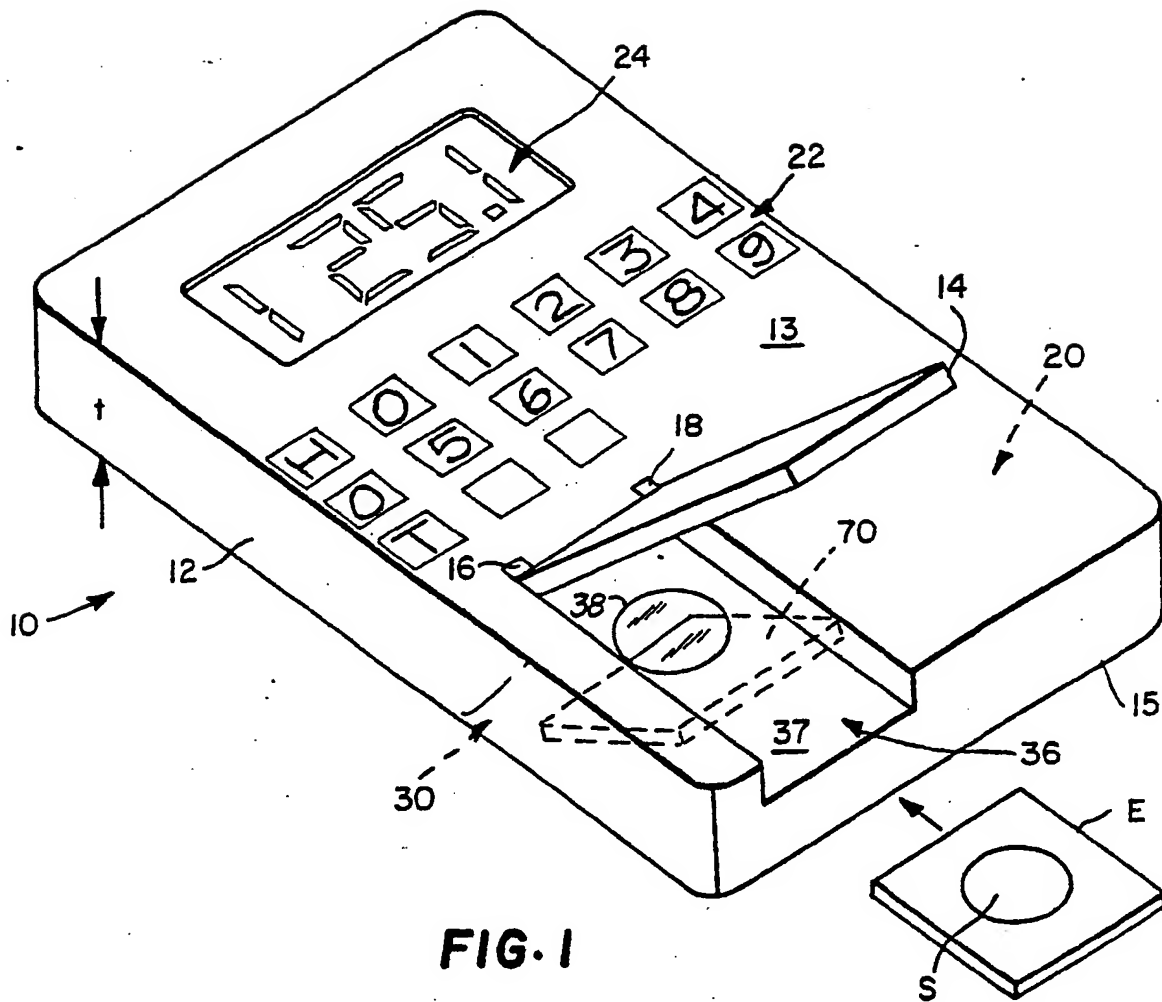
7. A reflectometer as defined in any one of Claims 1 to 6, and further including reference  
10 detector means for detecting said light source directly as a reference against which detection by said light detector means is compared, said reflecting means being disposed between said light source and said reference detector means and constructed to pass  
15 a fraction of the light received from said light source, to said reference detector means.

8. A reflectometer as defined in any one of Claims 1 to 7, wherein the total thickness of said reflectometer, is no greater than 2 cm.

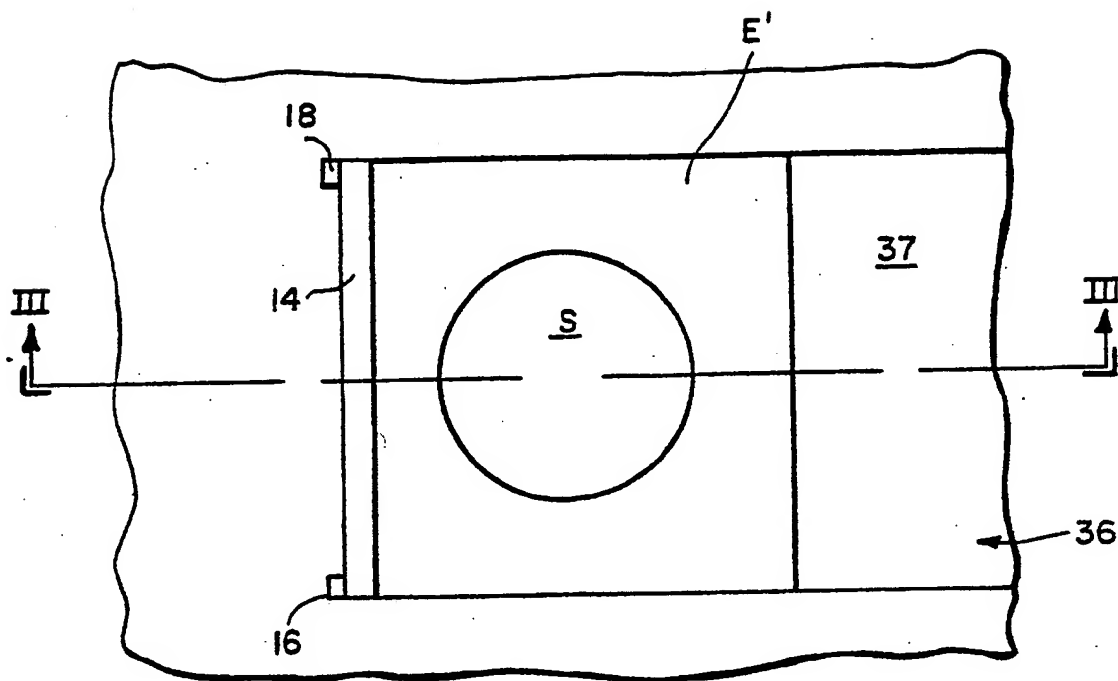
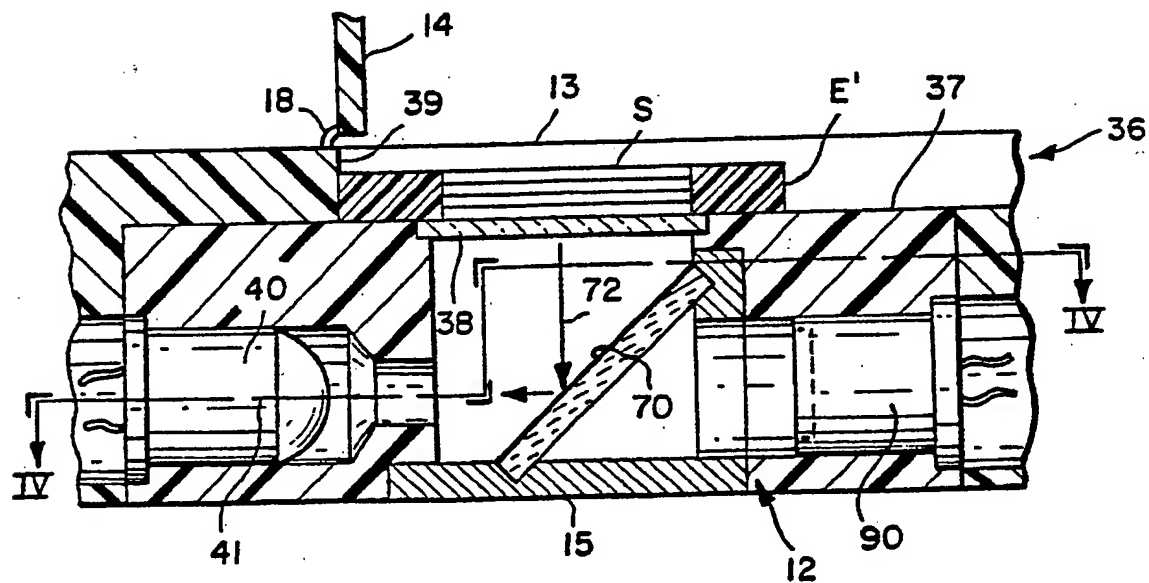
20 9. A reflectometer as defined in any one of Claims 1 to 8, and further including a light trap disposed to receive light specularly reflected by the supported test element from said light source, and a second light source disposed in said trap with an axis  
25 of illumination directed at said reflecting means, said second light source emitting light at a wavelength different from said first-named light source.

30

35





**FIG. 2****FIG. 3**

-3/4-

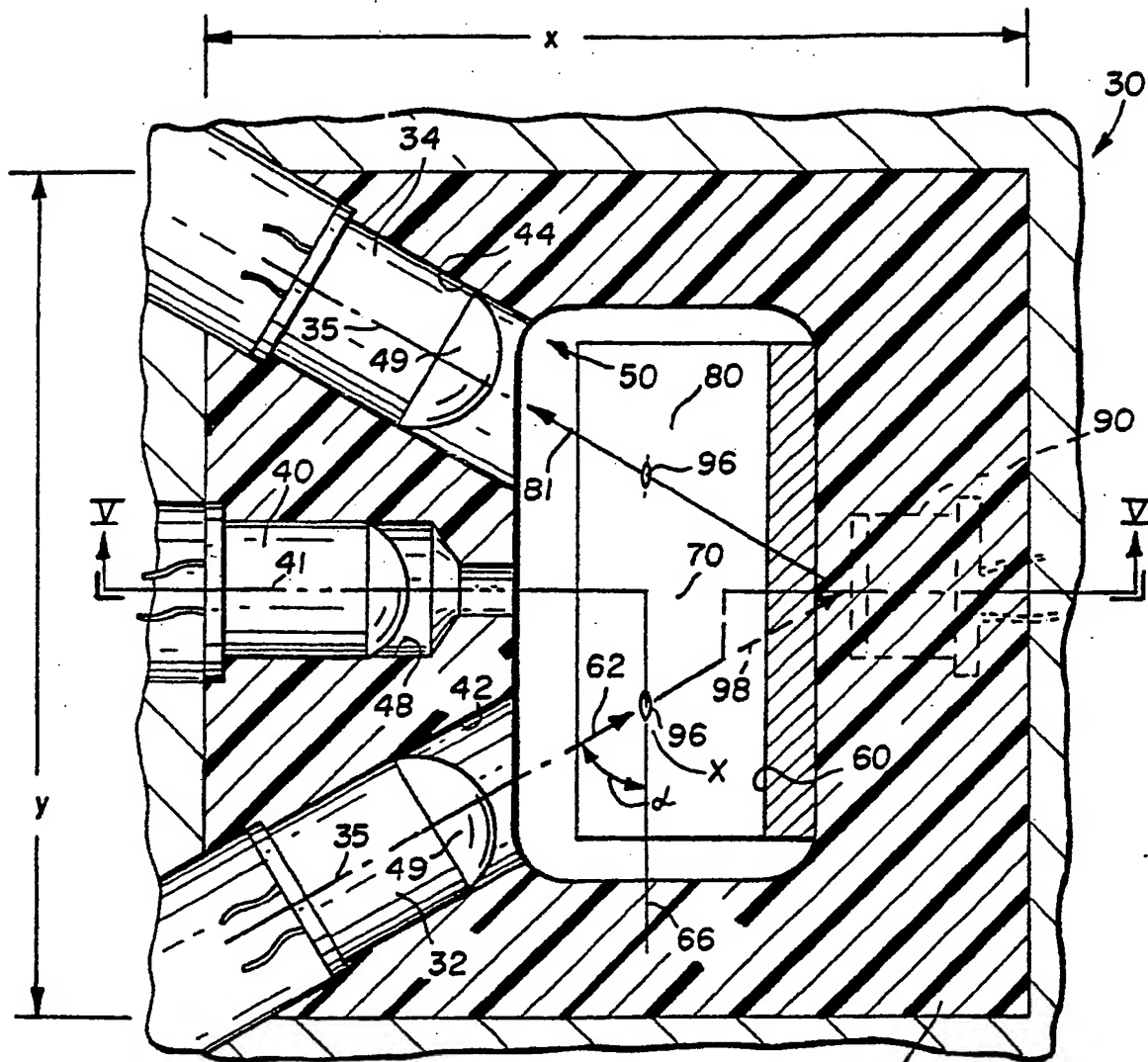


FIG. 4

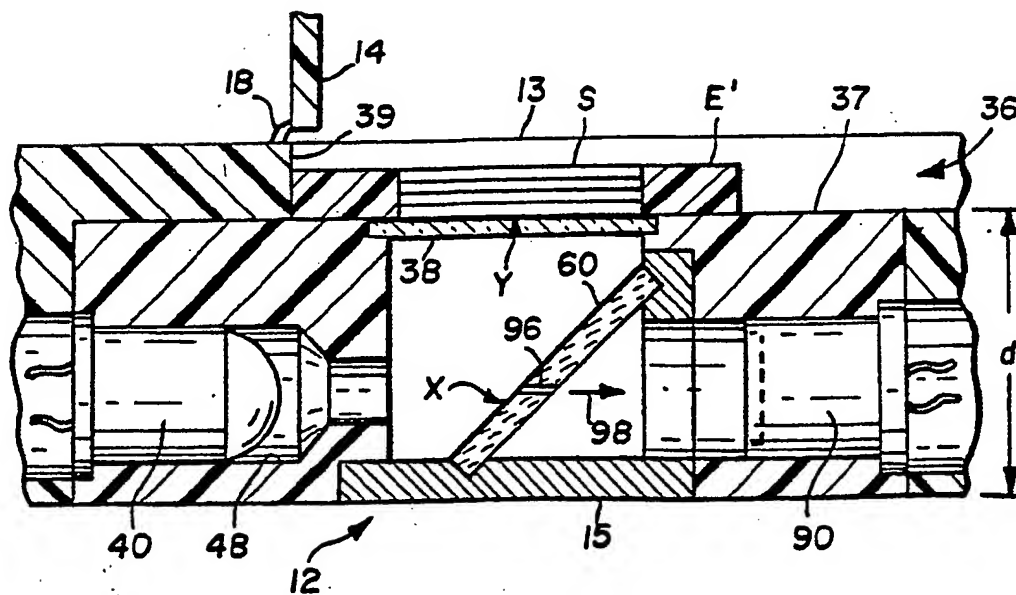
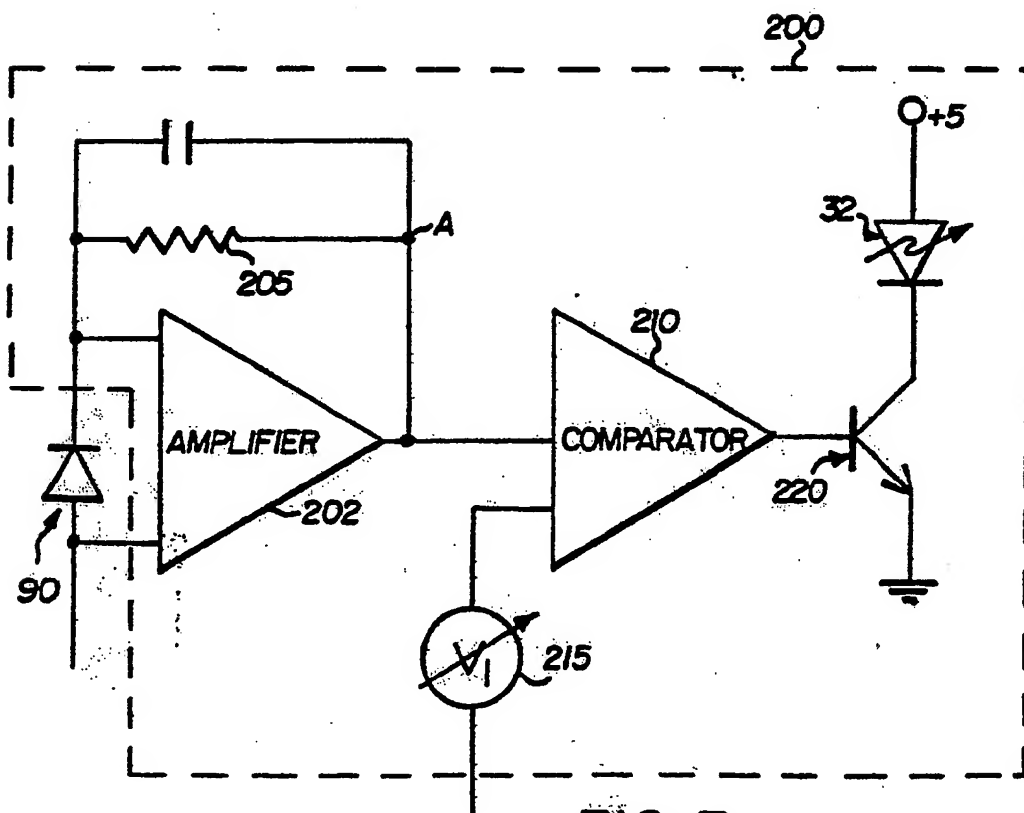
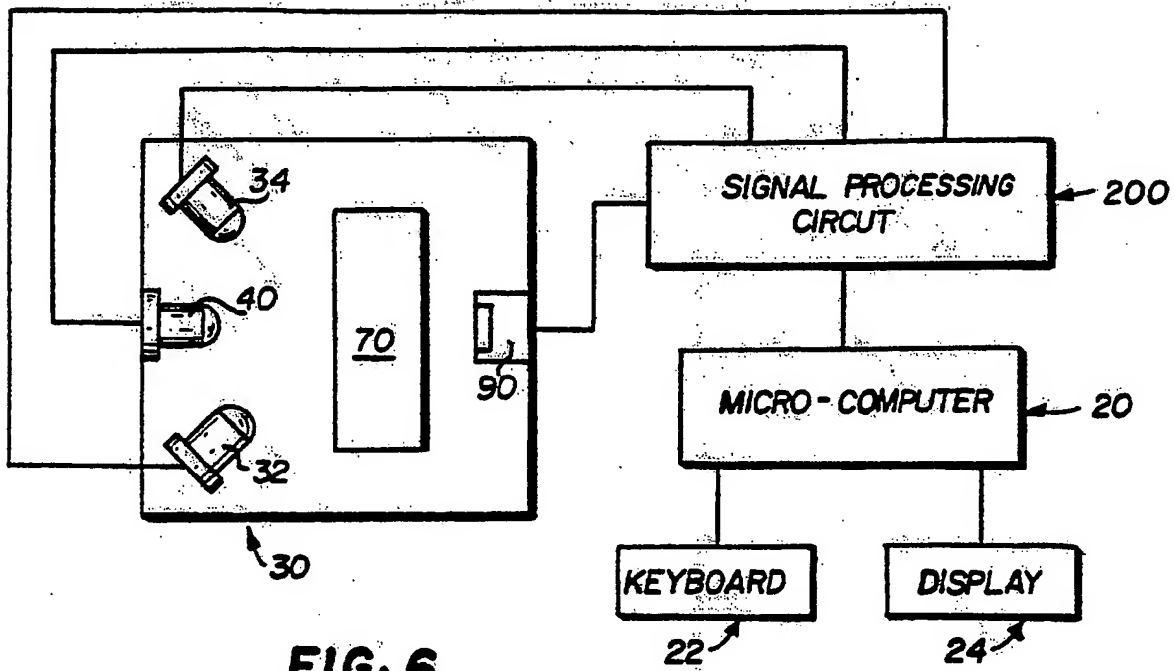


FIG. 5





European Patent  
Office

# EUROPEAN SEARCH REPORT

0205698

Application number

EP 85 30 4634

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.4)
A, D	EP-A-0 102 189 (EASTMAN KODAK)  * Pages 4-8; figures 1-3 *	1, 2, 4, 9	G 01 N 21/47
A	US-A-4 199 261 (L. TIDD et al.) * Column 2, lines 59-66; column 3, lines 1-10; figure 1 *	1, 7	
			TECHNICAL FIELDS SEARCHED (Int. Cl.4)
			G 01 N 21/47 G 01 N 21/57 G 01 J 3/51 G 01 N 21/86 G 01 N 21/25
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 03-03-1986	Examiner BOEHM CH.E.D.
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant .. combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	